

Annexure – 7

ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	A. KYC form - Document captures the basic information	1-5
		B. Document Captures the additional Information about the Constituent relevant to Trading account.	1-4
2	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	1-5
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	1-4
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	1-2
5	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	1-3
6	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	1-1
7	Nomination for Eligible Trading and Demat Accounts	Annexure A / Annexure B for Individuals for providing nomination or opting out nomination.	1-3
NON- MANDATORY DOCUMENTS			
1	CKYC-Entity consent letter	Consent letter from the entity for accessing the documents on Central KYC Registry ('CKYCR').	1-1
2	CKYC-Individual consent letter	Consent letter from the individual for accessing the documents on Central KYC Registry ('CKYCR').	1-1

Name of stock broker/trading member/clearing member: BofA Securities India Limited
SEBI Registration No. and date: INZ000217333 dated 22nd January, 2019

Registered office address: BofA Securities India Limited, One BKC, A Wing, Ground Floor, G Block, Bandra Kurla Complex, Bandra (East), Mumbai-400051 Ph: +91 22 66328000 Fax: +91 22 66328579
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For any grievance/dispute please contact BofA Securities India Limited at the above address or email: brokingindia@ml.com and Phone no. 91- 22 66328000. In case not satisfied with the response, please contact the concerned exchange(s) at

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