

Annexure - 7

ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Common Application Form (CAF)	Account opening form for Foreign Portfolio Investors	1-17
2	Annexure to Common Application Form	Declaration and Undertaking	1-6
3	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	1-5
4	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	1-4
5	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	1-2
6	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	1-3
7	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	1-1

Name of stock broker/trading member/clearing member: BofA Securities India Limited

SEBI Registration No. and date: INZ000217333 dated 22nd January, 2019

Registered office address: BofA Securities India Limited, One BKC, A Wing, Ground Floor, G Block, Bandra Kurla Complex, Bandra (East), Mumbai-400051 Ph: +91 22 66328000 Fax: +91 22 66328579
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Contact Person: Mr. Arbind Maheswari, Managing Director, Equities Tel: +91 22 66328000
Email: arbind.maheswari@bofa.com

For any grievance/dispute please contact BofA Securities India Limited at the above address or email: brokingindia@ml.com and Phone no. 91- 22 6632 8000. In case not satisfied with the response, please contact the concerned exchange(s) at

(a) NSE - ignse@nse.co.in & Tel: +91- 22 26598190 / 18002660058

(b) BSE - mahesh.ghadi@bseindia.com & Tel : +91 22 22728517