

Details of Complaints Data - Stock Broking

Data for the month ending – August 2023

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
3.	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	N.A.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	September 2022	NIL	NIL	NIL	NIL
2	October 2022	NIL	NIL	NIL	NIL
3	November 2022	NIL	NIL	NIL	NIL
4	December 2022	NIL	NIL	NIL	NIL
5	January 2023	NIL	NIL	NIL	NIL
6	February 2023	NIL	NIL	NIL	NIL
7	March 2023	NIL	NIL	NIL	NIL
8	April 2023	NIL	NIL	NIL	NIL
9	May 2023	NIL	NIL	NIL	NIL
10	June 2023	NIL	NIL	NIL	NIL
11	July 2023	NIL	1	1	NIL
12	August 2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	1	1	NIL

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2018-19	NIL	4	4	NIL
2	2019-20	NIL	1	1	NIL
3	2020-21	NIL	NIL	NIL	NIL
4	2021-22	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL
6	YTD 2023-24	NIL	1	1	NIL
	Grand Total		6	6	

* Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.